

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s):

Jack H. Yuan

Assignee:

SanDisk Corporation

Title:

Scalable Self-Aligned Dual Floating Gate Memory Cell Array and

Methods of Forming the Array

Serial No.:

09/925,102

Filing Date:

August 8, 2001

Docket No.:

11587 M-11822 US

Group Art Unit:

2185

San Francisco, California November 2, 2001

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 28, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. A Declaration signed by the inventors in compliance with 37 CFR 1.63.
- 2. Assignment and Recordation Sheet;
- 3. Power of Attorney by Assignee for Entire Interest; and
- 4. Copy of Notice to File Missing Parts of Non-Provisional Application Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1. Surcharge for filing declaration on a date later than the

-1-

\$130.00

filing date of the application.

2. TOTAL FEES:

\$ 130.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

817036 vl

Serial No. 09/925,102

25 METRO DRIVE SUITE 700 SAN JOSE, CA 95110 (408) 453-9200 FAX (408) 453-7979

LAW OFFICES OF KJERVEN MORRILL MacPHERSON LLF It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of August 8, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

Respectfully submitted,

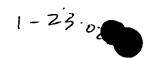
EL751263053US

Gerald P. Parsons Reg. No. 24,486

Date

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Page 1 of 2 SECTOR

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/925,102

08/08/2001

Jack H. Yuan

11587 M-11822 US

CONFIRMATION NO. 3186

FORMALITIES LETTER

OC000000006810792

Skjerve Morrill MacPherson LLP Suite 700 25 Metro Drive San Francisco, CA 95110

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Afrapplication number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE